

## INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

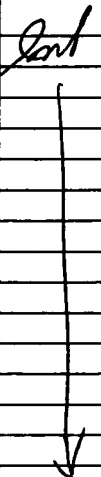
(use as many sheets as necessary)

## Complete if Known

Application Number	09/753,328
Filing Date	December 29, 2000
First Named Inventor:	Shane M. Tulloch
Art Unit	3624
Examiner Name	Patel, Joseph
Attorney Docket Number	3660P021X2

Sheet 1 of 5

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		us-	3,637,464	1/25/1972	Walsh et al.	
		us-	3,863,060	1/28/1975	Rode et al.	
		us-	4,597,045	6/24/1986	Kiuchi	
		us-	4,799,156	1/17/1989	Shavit et al.	
		us-	5,629,982	5/13/1997	Micali	
		us-	5,799,285	8/25/1998	Klingman	
		us-	5,803,500	9/8/1998	Mossberg	
		us-	5,809,483	9/15/1998	Broka et al.	
		us-	5,832,496	11/3/1998	Anand	
		us-	5,862,223	1/19/1999	Walker et al.	
		us-	5,897,621	4/27/1999	Boesch et al.	
		us-	5,905,974	5/18/1999	Fraser et al.	
		us-	5,915,209	6/22/1999	Lawrence	
		us-	5,966,699	10/12/1999	Zandi	
		us-	6,014,627	1/11/2000	Togher et al.	

## FOREIGN PATENT DOCUMENTS


Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>o</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
Jed			EP 0 399 850 A		11/28/1990	Silverman		
			JP 409101994A1		3/11/1998	Inda		
			JP 410078992A		4/15/1997	Mori et al.		
			WO 92/15174		9/3/1992	Grusin et al.		
			WO 98/34187 A1		8/6/1998	Grothauge et al.		

Examiner Signature	<i>Joseph Patel</i>	Date Considered	8/15/05
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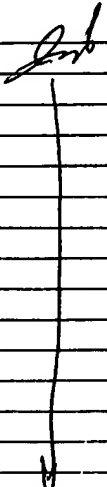
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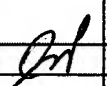
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Substitute for Form 1449/PTO				<b>Complete If Known</b>	
<b>INFORMATION DISCLOSURE</b>				Application Number	09/753,328
<b>STATEMENT BY APPLICANT</b>				Filing Date	December 29, 2000
(Use as many sheets as necessary)				First Named Inventor:	Shane M. Tulloch
				Art Unit	3624
				Examiner Name	Patel, Joseph
				Attorney Docket Number	3660P021X2
Sheet <u>2</u>	of		5		

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Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		US-	6,021,398	2/1/2000	Ausubel	
		US-	6,023,685	2/8/2000	Brett et al.	
		US-	6,026,383	2/15/2000	Ausubel	
		US-	6,035,287	3/7/2000	Stallaert et al.	
		US-	6,047,274	4/4/2000	Johnson et al.	
		US-	6,052,108	4/18/2000	Gadd	
		US-	6,055,518	4/25/2000	Franklin et al.	
		US-	6,058,379	5/2/2000	Odom et al.	
		US-	6,061,663	5/9/2000	Bloom et al.	
		US-	6,078,906	6/20/2000	Huberman	
		US-	6,119,229	9/12/2000	Martinez et al.	
		US-	6,131,087	10/10/2000	Luke et al.	
		US-	6,151,589	11/21/2000	Aggarwal et al.	
		US-	6,161,099	12/12/2000	Harrington et al.	
		US-	6,178,431 B1	1/23/2001	Douglas	

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		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
		WO	99/63461		12/9/1999	Dinwoodie		

Examiner Signature 	Date Considered <u>8/15/05</u>	
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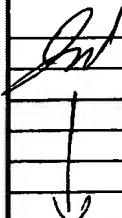
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				Examiner Name	Patel, Joseph
Sheet	3	of	5	Attorney Docket Number	3660P021X2

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		US-	6,230,146 B1	5/8/2001	Alaia et al. (P019 Iss'd)	
		US-	6,266,652 B1	7/24/2001	Godin et al.	
		US-	6,275,807 B1	8/14/2001	Schiripa	
		US-	6,343,277 B1	1/29/2002	Gaus et al.	
		US-	6,366,891 B1	4/2/2002	Feinberg	
		US-	US-2002-0013631-A1	1/31/2002	Parunak et al.	
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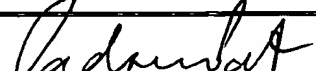
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Sheet	4	of	5		
<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T <sup>2</sup>
JMT		J. Salramesh, et al., "Economic Framework for Pricing and Charging in Digital Libraries," D-Lib Magazine, ISSN 1082-9873 (February 1996)			
		Online Bidding Software," Electronic Buyers' News, Issue No. 1072, p. 86, 1/6p (August 25,, 1997)			
		"FairMarket Launches New Self-Serve Auctions," Business Wire, p6161495 (June 16, 1998)			
		"Broadvision Developing First Interactive Commerce Management System to Support Online Sales & Marketing Process; New Software Category Necessary to Interactive Network Architecture," Business Wire, p. 5150152 (May 15, 1995)			
		Lee, Ho Geun, "Do electronic marketplaces lower the price of goods?" Communications of the PCM, V. 41, No. 1, pp. 73-80 (January 1998)			
		"Sold!... To the Lowest Bidder," Computer Finance, Vol. 6, No. 2 (July 1995)			
		"Venture Capitalists Fund Two Massachusetts Internet Related Companies," Boston Globe (January 14, 1998)			
		Von der Fehr, et al., "Predatory bidding in Sequential Auctions," Oxford Economic Papers, Vol. 46, No. 3, p. 345(12) (July 1994)			
		"Moai Technologies Introduces New Categories of Business to Business Auction Software," Business Editors and Computer Writers (March 16, 1998)			
		H. Kikuchi, Michael Harkavy, and J.D. Tygar, Multi-round Anonymous Auction, In Proceedings of the First IEEE Workshop on Dependable and Real-Time E-Commerce Systems, pp. 62-69, June 1998			
		Vigoroso, "Buyers prepare for brave new World of E-Commerce," Purchasing, Vol. 126, No. 6, p. S4(1) (April 22, 1999)			

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"What you need to know to bid in FCC's Narrowband Auction," Washington Telecom News, Vol. 2, No. 26, p. 6(2) (June 27, 1994)

M. Reck, "Types of Electronic Auctions," Hochschule St. Gallen

C. Wrigley, "Design Criteria for Electronic Market Servers," *Electronic Markets*, Vol. 7, No. 4 (1997)

Wurman et al., "The Michigan Internet AuctionBot: A Configurable Auction Server for Human and Software Agents," Artificial Intelligence Laboratory, University of Michigan (1998)

PCT Notification of Transmittal of International Preliminary Examination Report for PCT Counterpart Application No. PCT/US00/08522 Containing International Preliminary Examination Report (November 19, 2001)

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Date  
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